

現有客戶認購表格

First Sentier Investors Global Umbrella Fund plc (“Company”)

首源投資環球傘子基金有限公司 (「本公司」)

(an umbrella fund with segregated liability between sub-funds) (子基金互相獨立的傘子基金)

Please fill in the details required below in **English**.

請以英文填寫下列所需資料。

If we solicit the sale of or recommend any financial product to you, the financial product must be reasonably suitable for you having regard to your financial situation, investment experience and investment objectives. No other provision of this agreement or any other document we may ask you to sign and no statement we may ask you to make derogates from this clause. 假如我們向閣下招攬銷售或建議任何金融產品，該金融產品必須是我們經考慮閣下的財政狀況、投資經驗及投資目標後而認為合理地適合閣下的。本協議的其他條文或任何其他我們可能要求閣下簽署的文件及我們可能要求閣下作出的聲明概不會減損本條款的效力。

If you have any questions relating to your application, please contact HSBC Institutional Trust Services (Asia) Limited (Hotline: (852) 2269 2571 or Email: firstsentierqueries@hsbc.com) on Monday to Friday. 閣下如對閣下的申請有任何疑問，請於星期一至星期五聯絡滙豐機構信託服務（亞洲）有限公司（熱線：(852) 2269 2571 或電郵：firstsentierqueries@hsbc.com）。

PERSONAL DETAILS 個人資料

The personal information that you fill in below should be the same as the information that was printed on the **Subscription Contract** which was sent to you after you had opened an account or received Shares.

以下所填寫的個人資料應與閣下開立戶口或收到股份後收到的認購合約所刊印的資料相同。

Investor Name 投資者名稱 _____

Investor ID 投資者號碼 _____

Daytime Tel No. 日間電話 Country code 國家號碼 Area code 地區號碼 No. 號碼 _____

Source of Funds (to be invested) 投資資金來源

	FIRST APPLICANT 第一申請人	SECOND APPLICANT 第二申請人	CORPORATE APPLICANT ONLY 僅適用於公司申請人
Source of Funds (to be invested) 投資資金來源 (you may choose more than one) (可選擇一個或以上)	<input type="checkbox"/> Salary Income 工資收入 <input type="checkbox"/> Inheritance 遺產 <input type="checkbox"/> Gift 禮物 <input type="checkbox"/> Pension 退休金 <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____	<input type="checkbox"/> Salary Income 工資收入 <input type="checkbox"/> Inheritance 遺產 <input type="checkbox"/> Gift 禮物 <input type="checkbox"/> Pension 退休金 <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____	<input type="checkbox"/> Client money 客戶資金 <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Cash flow generated from company operations 經營產生的現金流 <input type="checkbox"/> Loan funding 貸款資金 <input type="checkbox"/> Injection of funds from owner/ parent company 公司擁有人/母公司注資 <input type="checkbox"/> Investment by ultimate beneficial owner/ partner 實益擁有人/合夥人的投資 <input type="checkbox"/> Intra-group financing 集團內部融資 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____

Source of Wealth (Total assets) 財富來源(總資產)

	FIRST APPLICANT 第一申請人	SECOND APPLICANT 第二申請人	CORPORATE APPLICANT ONLY 僅適用於公司申請人
Source of Wealth (Total assets) 財富來源(總資產) (you may choose more than one) (可選擇一個或以上)	<input type="checkbox"/> Salary Income 工資收入 <input type="checkbox"/> Inheritance 遺產 <input type="checkbox"/> Gift 禮物 <input type="checkbox"/> Pension 退休金 <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____	<input type="checkbox"/> Salary Income 工資收入 <input type="checkbox"/> Inheritance 遺產 <input type="checkbox"/> Gift 禮物 <input type="checkbox"/> Pension 退休金 <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____	<input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Cash flow generated from company operations 經營產生的現金流 <input type="checkbox"/> Loan funding 貸款資金 <input type="checkbox"/> Injection of funds from owner/ parent company 公司擁有人/母公司注資 <input type="checkbox"/> Investment by ultimate beneficial owner/ partner 實益擁有人/合夥人的投資 <input type="checkbox"/> Intra-group financing 集團內部融資 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____

SUBSCRIPTION DETAILS 認購詳情

Applicant(s) wish to purchase Shares to the value of the cash amount at the price ruling on acceptance of this application and upon the terms of the Offering Document(s) of the Fund.

申請人茲根據基金發售文件的條款，按本次認購接納時所訂出的價格購買股份。

Name of Fund 基金名稱

Currency/Amount 貨幣/款額

INCOME DISTRIBUTION (IF APPLICABLE) 派發股息 (如適用)

Note that not all Funds issue distributing Shares - please refer to the Offering Document(s) in relation to the Fund. For Funds carrying income distribution Shares, new investment amount will be subscribing distributing classes automatically unless otherwise specified by the investor(s). In such case, please indicate the income payment method in this section.

If the following section is not completed, income distributions (if any) will be automatically reinvested into further Shares of the same class of the same Fund for all funds. If you complete the section below but insert no currency, the currency of the class of Share will be used. If you choose a currency different to that in which the Share is denominated, costs of currency conversion will be payable by you.

並非所有基金均設有收息股份，詳情請參閱有關基金的發售文件。若基金發行收息股份，除投資者特別聲明外，新投資的金額將自動認購該基金的收息類別；在此情況下，請於此欄表明閣下之收益派發選擇。

如申請人選擇不填妥以下部分，各種基金所派發的收益(如有)將自動再投資於同一基金的同類別股份。若閣下填妥以下部分，但未填上貨幣，則以該股份類別的標值貨幣為準。若閣下選擇與該股份標值貨幣有別的另一貨幣，閣下須支付貨幣兌換費用。

Please pay income distributions (if any) 請將派發收益(如有)

by reinvesting into the same class of the same Fund 將收益再投資於同一基金之同一類別

by telegraphic transfer 以電匯支付

PAYMENT METHOD 付款辦法

Telegraphic Transfer 電匯

Correspondent Bank 代理銀行		Beneficiary Bank 收款銀行					
Currency 貨幣	Name 名稱	Swift Code Swift 代碼	ABA Code ABA 代碼	Name 名稱	Swift Code Swift 代碼	Beneficiary Name 收款人名稱	IBAN
USD 美元	HSBC Bank USA, N.A.	MRMDUS33	021001088	HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs USD HBCE IE	FR7630056005480548003098473
AUD 澳元	HSBC Bank Australia Ltd	HKBAAU2SSYD		HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs AUD HBCE IE	FR7630056005480548003104293
CHF 瑞士法郎	Credit Suisse Schweiz	CRESCHZZ80A		HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs CHF HBCE IE	FR7630056005480548003106815
Euro 歐元				HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs EUR HBCE IE	FR7630056005480548003100122
GBP 英鎊	HSBC Bank pic, London	MIDLGB22		HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs GBP HBCE IE	FR7630056005480548003099734
HKD 港元	HSBC Hong Kong	HSBCHKHCHKH		HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs HKD HBCE IE	FR7630056005480548003102741
JPY 日圓	Hong Kong & Shanghai Banking Corp Tokyo	HSBCJPJT		HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs JPY HBCE IE	FR7630056005480548003101480
RMB 人民幣	HSBC Hong Kong	HSBCHKHCHKH		HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs RMB HBCE IE	FR7630056005480548003109628
SGD 新加坡元	HSBC Singapore	HSBCSGSG		HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs SGD HBCE IE	FR7630056005480548003108367
SEK 瑞典克朗	SEB Stockholm	ESSESESS		HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs SEK HBCE IE	FR7630056005480548003110792
CAD 加元	HSBC Bank Canada	HKBCATT		HSBC Continental Europe	CCFRFRPP	FSIGUF Pic Subs CAD HBCE IE	FR7630056005480548003105554

Note : Please state clearly on the remittance advice – [Re : Name of the Fund] and [Ref : Name of the Applicant]

A copy of a telegraphic transfer advice must be enclosed with your Application Form

注意：請於匯款通知書上清楚註明「認購基金名稱」及「申請人名稱」。電匯通知書副本必須隨認購表格一併交回。

DECLARATION 聲明

- Please refer to the Offering Document(s) for the minimum initial subscription, minimum holdings, minimum subsequent subscription and currency for the Fund and Share Class you are subscribing to.
- I/We understand and accept that this application is made on the terms and conditions of the offering document(s) in relation to the Fund as currently in issue ("Offering Document(s)") and subject to the provisions of the constitutive documents of the Fund and the material contracts disclosed in the Offering Document(s).
- I/We hereby undertake and agree to accept any number of Shares in respect of which this application may be accepted. I/We understand that the Company / Manager / Investment Manager reserve the right to restrict or prevent the ownership of Shares by any person, firm or corporation including but not limited to the circumstances outlined in the Offering Document(s).
- I/We confirm that I/We have the authority to make the investment pursuant to this Subscription Form whether the investment is my/our own or is made on behalf of another person or institution.
- I/We confirm that I/We have read the Offering Document(s), as applicable for the Fund(s) in respect of which application is made.
- I/We agree that the information supplied on the Application Form and through other (written or oral) communications from time to time in connection with my/our subscription for Shares or as Shareholder(s) of the Fund(s) including any information which I/we supply, by whatever means, that relates to me/us or a third party individual ("Personal Data") may be held by the Company, the Manager, the Fund(s), the Investment Manager, the Sub-Investment Managers, the Hong Kong Representative and other relevant service providers appointed in respect of the Company and/or the Fund(s) (including the Manager, Depository, the Administrator and Registrar (or its delegate) and their duly appointed delegates (including their employees, officers, directors or agents) and will be used for the purposes of providing me/us with various services in relation to our Shares, including without limitation, matching for the purpose of processing or verifying my/ our data, processing my/our subscriptions and investments in the Fund(s), the completion/ maintenance of information on the investor registers, carrying out my/our instructions and/ or responding to enquiries purported to be given by me/us on my/ our behalf, performance of anti-money laundering, counter terrorist financing and know your client checks and related processes, dealing with any matters in relation to my/our holdings of Shares, investor communications (including the mailing and/or emailing of financial reports and notices), and observing any legal, governmental or regulatory requirements of any relevant jurisdictions. I/We agree that the Administrator and Registrar (or its delegate) may process my/ our personal data for the purposes of providing services to the Company, the Manager and the Fund(s), performing its legal and regulatory obligations and conducting financial crime risk management and other activities, including disclosing those data to the Company, the Manager and the Fund(s) and to third parties and transferring them internationally. All such information may be retained after my/our Shares in the Fund(s) have been transferred/ redeemed or upon the termination of the relevant Fund(s) and/or the Company in accordance with applicable laws.
- I/We confirm that all the information made by me/us in this Application Form and otherwise provided by me/us to the Company, the Manager, the Fund, the Investment Manager shall remain valid and I/We also undertake to immediately notify the Company, the Manager, Fund, Investment Manager on any changes to such Information as soon as reasonably possible.
- All Joint applicants must sign this Form unless otherwise specified on the Application Form. A corporation should sign under the hand of a duly authorised official.
- Neither the Administrator and Registrar (or its delegate), the Hong Kong Representative or the Fund/ Manager accepts any claims for loss in relation to their non-receipt of this Form being sent by fax.
- I/We hereby confirm that the Company, the Directors, the Manager, the Administrator and Registrar (or its delegate), the investment Manager and the Hong Kong Representative are each hereby authorised and instructed to accept and execute any instructions in respect of the Shares to which this application relates given by me/us in written form or by facsimile (I/We hereby indemnify the Company, the Directors, the Manager, the Administrator and Registrar (or its delegate), the Investment Manager and the Hong Kong representative against any loss arising to any of them as a result of any of them acting on facsimile instructions. This also applies to the case if redemption payment is made without receipt of original Redemption Form. If instructions are given by facsimile or telex I/We undertake to confirm such instructions to the Administrator and Registrar (or its delegate) in writing. I/We confirm that each of the Company, the Directors, the Manager, the Administrator and Registrar (or its delegate), the Investment Manager and the Hong Kong Representative and the Fund / Manager may rely conclusively upon and shall incur no liability in acting upon any notice, request, consent, instruction or other instrument believed by it in good faith to be genuine or to be signed by the proper person(s) or duly authorised or properly made.
- I/We hereby authorise the Company, the Manager, the Fund, the Investment Manager and their respective agents to disclose to any relevant tax authority, competent department or authority of the government or administration of Ireland and Hong Kong or other countries (collectively, the "Government Authorities") any information (including our tax status, identity or residency or other personal and payment information), documents or self-certifications provided by me/us to the Company, Manager, the Fund and the Investment Manager. To the extent permitted by law, I/We agree to waive any provision of any data protection, privacy, banking secrecy or other law or regulation of any jurisdiction and/or the terms of any confidentiality agreement, arrangement or understanding that would otherwise prevent the Company, the Manager, the Fund and the Investment Manager's compliance with the AEOI regimes or any other applicable laws, including, but not limited to, my/our provision of any requested information and/or documentation. I/We shall have no claim against the Company, the Manager, the Fund and the Investment Manager (or their respective agents from time to time) for any losses, liabilities, costs or expenses suffered by me/us as a result of the proper use or disclosure of such information or documentation to any of the Government Authorities or for any actions or omissions taken by any of them in connection with the Company, the Manager, the Fund and the Investment Manager's compliance with the AEOI regimes. I/We confirm that all the information made by us in this Application Form and as otherwise provided by me/us to the Company, the Manager, the Fund and the Investment Manager shall remain valid and I/We also undertake to immediately notify the Company, the Manager, the Fund and the Investment Manager on any changes to such Information as soon as reasonably possible.
- I/We agree to compensate the Company, the Manager, the Fund, the Directors, Investment Manager, the Depository, the Administrator and Registrar (or its delegate), the Hong Kong Representative (as the case may be) and their respective agents/delegates from time to time for any losses or expenses arising in connection with a breach of the above declarations and agreements and/or my/our actions or failure to provide any information or documents requested by any of them to comply with the AEOI regimes or other laws or regulations.
- I/We acknowledge that if I/We provide information and documentation that is in any way misleading, or fail to provide the Company, the Manager, the Fund, the Directors, the Investment Manager, the Depository, the Administrator and Registrar (or its delegate), the Hong Kong Representative (as the case may be) and their respective agents/delegates with the requested information and documentation necessary in either case to satisfy the obligations of the Company, the Manager, the Fund or the Investment Manager under the AEOI regimes, the Company, Manager, the Fund and the Investment Manager reserves the right: (i) to take any action and/or pursue such remedies as permitted by law and/or the constitutive documents of the Company/ the Fund at its disposal.
- 有關閣下認購的基金及股份類別的最低首次認購額、最低持股量金額、最低其後認購額及貨幣，請參閱發售文件。
- 本人/我們明白及接納是項申請乃根據基金目前刊印的發售文件（「發售文件」）的條款及條件提出，並須受基金成立文件以及發售文件內所披露各重大合約的條款所規限。
- 本人/我們謹承諾及同意接納是項申請所獲受理任何數目的股份。本人/我們明白貴公司/基金經理/投資經理保留權利，可限制或防止任何人士、商號或公司擁有股份，包括（但不限於）發售文件所概述的情況。
- 本人/我們確認，本人/我們有權根據本認購表格作出投資，而不論該項投資乃為本人/我們本身作出或代另一人士或機構作出。
- 本人/我們確認，本人/我們已閱讀適用於所認購基金的發售文件。
- 本人/我們同意，本認購表格所提供的資料及透過其他（書面或口述）的通訊方式不時就本人/我們認購股份或作為基金股東所提供的資料（包括本人/我們以任何方式提供與本人/我們或第三方個人有關的任何資料（「個人資料」））可由貴公司、基金經理、基金、投資經理、副投資經理、香港代表及其他就貴公司及/或基金所委任的相關服務供應商（包括基金經理、保管人、行政管理人及過戶處（或其代表））及其正式委任的代表（包括其僱員、高級職員、董事或代理）持有，並將用於向本人/我們提供有關我們的股份的多項服務，包括但不限於為處理或核實本人/我們的資料的配對、處理本人/我們對基金的認購及投資、完成/保存投資者名冊上的資料、執行本人/我們的指示及/或回覆據稱由本人/我們代表本人/我們發出的查詢、執行反洗黑錢、反恐融資及認識客戶檢查及相關過程、處理任何與本人/我們持有股份相關的事宜、投資者通訊（包括郵寄及/或電郵財務報告及通告），及遵守任何相關司法管轄權區的任何法律、政府或監管規定。本人/我們同意，行政管理人及過戶處（或其代表）可出於向貴公司、基金經理及基金提供服務之目的處理本人/我們的個人資料，以履行其法律及規管責任並進行金融犯罪風險管理及其他活動，包括向貴公司、基金經理及基金以及向第三方披露該等數據並對該等數據進行國際傳輸。所有該等資料或會於本人/我們的基金股份被轉讓/贖回後或於相關基金及/或貴公司終止後根據適用法律被予以保留。
- 本人/我們確認，本人/我們於本認購表格所提供的一切資料及其他由本人/我們向貴公司、基金經理、基金、投資經理提供的資料均屬有效，且本人/我們亦承諾，如有關資料有任何更改，將合理地盡快知會貴公司、基金經理、基金、投資經理。
- 除認購表格特別註明外，所有聯名申請人均須簽署本表格。公司應由正式獲授權的高級職員親筆簽署。
- 行政管理人及過戶處（或其代表），香港代表或基金/基金經理概不會接受任何索償，如因以傳真方式送交之本表格未能送達所引致之損失。
- 本人/我們確認，貴公司、董事、基金經理、行政管理人及過戶處（或其代表）、投資經理及香港代表已各自獲授權及指示，可接納及執行本人/我們就是項申請所涉及股份而發出的任何書面或傳真指示。本人/我們謹保證貴公司、董事、基金經理、行政管理人及過戶處（或其代表）、投資經理及香港代表任何一方若因根據傳真指示行事而蒙受任何損失，本人/我們會向彼等作出彌償，此聲明亦適用於當贖回款項在沒有收到贖回表格正本而支付的情況。若以傳真或電傳方式發出指示，本人/我們承諾以書面方式向行政管理人及過戶處（或其代表），覆實該項指示。本人/我們確認，貴公司、董事、基金經理、行政管理人及過戶處（或其代表）、投資經理及香港代表及基金/基金經理可確定地信賴其真誠相信為真確或經由合適人士簽署或正式獲授權或恰當發出的任何通知、要求、同意、指示或其他文據，且不應就據之行事而招致任何責任。
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SIGNATURE(S) 簽署

1st Applicant
第一申請人

2nd Applicant
第二申請人

Corporation & Chop
公司及蓋章

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