

EXISTING CUSTOMERS' SUBSCRIPTION FORM



現有客戶認購表格

FSSA China All Cap Fund (the "Fund")

首域盈信中國全市值基金 (「基金」)

First Sentier Asian Bridge Fund (the "Fund")

首源亞洲鐵橋基金 (「基金」)

Please fill in the details required below in **English**.

請以英文填寫下列所需資料。

If we solicit the sale of or recommend any financial product to you, the financial product must be reasonably suitable for you having regard to your financial situation, investment experience and investment objectives. No other provision of this agreement or any other document we may ask you to sign and no statement we may ask you to make derogates from this clause. 假如我們向閣下招攬銷售或建議任何金融產品，該金融產品必須是我們經考慮閣下的財政狀況、投資經驗及投資目標後而認為合理地適合閣下的。本協議的其他條文或任何其他我們可能要求閣下簽署的文件及我們可能要求閣下作出的聲明概不會減損本條款的效力。

If you have any questions relating to your application, please contact the Trustee and Registrar - HSBC Institutional Trust Services (Asia) Limited (Hotline: (852) 2269 2571 or Email: fsihk.transfer.agency.hk@hsbc.com.hk) on Monday to Friday.

閣下如對閣下的申請有任何疑問，請於星期一至星期五聯絡受託人及過戶處——滙豐機構信託服務(亞洲)有限公司(熱線：(852) 2269 2571 或電郵：fsihk.transfer.agency.hk@hsbc.com.hk)。

PERSONAL DETAILS 個人資料

The personal information that you fill in below should be the same as the information that was printed on the **Subscription Contract** which was sent to you after you had opened an account or received Units.

以下所填寫的個人資料應與閣下開立戶口或收到單位後收到的認購合約所刊印的資料相同。

Investor Name 投資者名稱 _____

Investor ID 投資者號碼 _____

Daytime Tel No. 日間電話 _____ **Country code** 國家號碼 _____ **Area code** 地區號碼 _____ **No.** 號碼 _____

Source of Funds (to be invested) 投資資金來源

| | FIRST APPLICANT 第一申請人 | SECOND APPLICANT 第二申請人 | CORPORATE APPLICANT ONLY 僅適用於公司申請人 |
|---|---|---|---|
| Source of Funds (to be invested) 投資資金來源 <i>(you may choose more than one)</i> <i>(可選擇一個或以上)</i> | <input type="checkbox"/> Salary Income 工資收入 <input type="checkbox"/> Inheritance 遺產 <input type="checkbox"/> Gift 禮物 <input type="checkbox"/> Pension 退休金 <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____ | <input type="checkbox"/> Salary Income 工資收入 <input type="checkbox"/> Inheritance 遺產 <input type="checkbox"/> Gift 禮物 <input type="checkbox"/> Pension 退休金 <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____ | <input type="checkbox"/> Client money 客戶資金 <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Cash flow generated from company operations 經營產生的現金流 <input type="checkbox"/> Loan funding 貸款資金 <input type="checkbox"/> Injection of funds from owner/ parent company 公司擁有人/母公司注資 <input type="checkbox"/> Investment by ultimate beneficial owner/ partner 實益擁有人/合夥人的投資 <input type="checkbox"/> Intra-group financing 集團內部融資 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____ |

Source of Wealth (Total assets) 財富來源(總資產)

| | FIRST APPLICANT 第一申請人 | SECOND APPLICANT 第二申請人 | CORPORATE APPLICANT ONLY 僅適用於公司申請人 |
|---|---|---|---|
| Source of Wealth (Total assets) 財富來源(總資產) <i>(you may choose more than one)</i> <i>(可選擇一個或以上)</i> | <input type="checkbox"/> Salary Income 工資收入 <input type="checkbox"/> Inheritance 遺產 <input type="checkbox"/> Gift 禮物 <input type="checkbox"/> Pension 退休金 <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____ | <input type="checkbox"/> Salary Income 工資收入 <input type="checkbox"/> Inheritance 遺產 <input type="checkbox"/> Gift 禮物 <input type="checkbox"/> Pension 退休金 <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____ | <input type="checkbox"/> Business Income/ profits/ dividends 營業收入/利潤/股息 <input type="checkbox"/> Sale of investments 投資收益 <input type="checkbox"/> Sale of property / fixed assets 出售物業/固定資產 <input type="checkbox"/> Cash flow generated from company operations 經營產生的現金流 <input type="checkbox"/> Loan funding 貸款資金 <input type="checkbox"/> Injection of funds from owner/ parent company 公司擁有人/母公司注資 <input type="checkbox"/> Investment by ultimate beneficial owner/ partner 實益擁有人/合夥人的投資 <input type="checkbox"/> Intra-group financing 集團內部融資 <input type="checkbox"/> Others (please specify) 其他 (請註明): _____ |

SUBSCRIPTION DETAILS 認購詳情

Applicant(s) wish to purchase Units to the value of the cash amount at the price ruling on acceptance of this application and upon the terms of the Offering Document(s) of the Fund(s).

申請人茲根據基金發售文件的條款，按本次認購接納時所訂出的價格購買單位。

Name of Fund 基金名稱

Currency/Amount 貨幣/款額

INCOME DISTRIBUTION (IF APPLICABLE) 派發股息 (如適用)

Note that not all Funds issue distributing Units - please refer to the Offering Document(s) in relation to the Fund. For Funds carrying income distribution Units, new investment amount will be subscribing distributing classes automatically unless otherwise specified by the investor(s). In such case, please indicate the income payment method in this section.

If the following section is not completed, income distributions (if any) will be automatically reinvested into further Units of the same class of the same Fund for all funds. If you complete the section below but insert no currency, the currency of the class of Unit will be used. If you choose a currency different to that in which the Unit is denominated, costs of currency conversion will be payable by you.

並非所有基金均設有收息單位，詳情請參閱有關基金的發售文件。若基金發行收息單位，除投資者特別聲明外，新投資的金額將自動認購該基金的收息類別；在此情況下，請於此欄表明閣下之收益派發選擇。

如申請人選擇不填妥以下部分，各種基金所派發的收益(如有)將自動再投資於同一基金的同類別單位。若閣下填妥以下部分，但未填上貨幣，則以該單位類別的標值貨幣為準。若閣下選擇與該單位標值貨幣有別的另一貨幣，閣下須支付貨幣兌換費用。

Please pay income distributions (if any) 請將派發收益(如有)

by reinvesting into the same class of the same Fund 將收益再投資於同一基金之同一類別

by Telegraphic Transfer 以電匯支付 **Currency of payment 支付貨幣：** USD 美元 HKD 港幣 RMB 人民幣

PAYMENT METHOD 付款辦法

Telegraphic Transfer 電匯

| Currency 貨幣 | Bank 銀行 | Account 戶名 | Account No. 戶口號碼 | Swift BIC Swift 地址 | Sort Code 分類編號 |
|----------------|---|---|---------------------|---|-------------------|
| HK\$ 港幣 | HongKong and Shanghai Banking Corporation Limited 1 Queen's Road, Central, Hong Kong | HSBC Institutional Trust Services (Asia) Limited-First Sentier Investors | 511-119356-003 | | |
| US\$ 美元 | HongKong and Shanghai Banking Corporation Limited 1 Queen's Road, Central, Hong Kong | HSBC Institutional Trust Services (Asia) Limited-First Sentier Investors | 502-692379-201 | | |
| GBP 英鎊 | HSBC Bank plc., Institutional Banking, PO Box 181, 27-32 Poultry, London, EC2P 2BX, U.K. | HSBC Institutional Trust Services (Asia) Limited-First Sentier Investors | 57207570 | | 40-05-15 |
| EURO 歐羅 | HSBC Bank plc., Institutional Banking, PO Box 181, 27-32 Poultry, London, EC2P 2BX, U.K. | HSBC Institutional Trust Services (Asia) Limited-First Sentier Investors | 57207562 | | 40-05-15 |
| RMB* 人民幣* | HongKong and Shanghai Banking Corporation Limited 1 Queen's Road, Central, Hong Kong | HSBC Institutional Trust Services (Asia) Limited - First Sentier Investors | 741-243992-209 | (Beneficiary A/C 收款人賬戶) HSBCHKHHGCC | |

* In case of RMB payments made from outside of Hong Kong, please remit via correspondent bank "Bank of China (Hong Kong) Limited" with Swift BIC "BKCHHKHH838".

* 如有人民幣款項從香港以外地區匯入，請通過代理銀行「中國銀行(香港)有限公司」進行匯款，Swift 地址為「BKCHHKHH838」。

Note: Please state clearly on the remittance advice — [Re: Name of the Fund] and [Ref: Name of the Applicant]

A copy of a telegraphic transfer advice must be enclosed with your Application Form

注意：請於匯款通知書上清楚註明「認購基金名稱」及「申請人名稱」。電匯通知書副本必須隨認購表格一併交回。

DECLARATION 聲明

- Please refer to the Offering Document(s) for the minimum initial investment amount, minimum subsequent investment amount and minimum holding amount for the Fund(s) and Unit Class you are subscribing to.
- I/We understand and accept that this application is made on the terms and conditions of the offering document(s) in relation to the Fund(s) as currently in issue ("Offering Document(s)") and subject to the provisions of the constitutive documents of the Fund(s) and the material contracts disclosed in the Offering Document(s).
- I/We hereby undertake and agree to accept any number of Units in respect of which this application may be accepted. I/We understand that the Directors/Managers of the Fund(s) reserve the right to restrict or prevent the ownership of Units by any person, firm or corporation including but not limited to the circumstances outlined in the Offering Document(s).
- I/We confirm that I/We have the authority to make the investment pursuant to this Subscription Form whether the investment is my/our own or is made on behalf of another person or institution.
- I/We confirm that I/We have read the Offering Document(s), as applicable for the Fund(s) in respect of which application is made.
- I/We agree that the information supplied on the Application Form and through other (written or oral) communications from time to time in connection with my/our subscription for Units or as Unitholder(s) of the Fund(s) including any information which I/we supply, by whatever means, that relates to me/us or a third party individual ("Personal Data") may be held by the Fund(s) the Manager, and other relevant service providers appointed in respect of the Fund(s) (including the Trustee and Registrar) and their duly appointed delegates (including their employees, officers, directors or agents) and will be used for the purposes of providing me/ us with various services in relation to our Units, including without limitation, matching for the purpose of processing or verifying my/our data, processing my/our subscriptions and investments in the Fund(s), the completion/maintenance of information on the investor registers, carrying out my/our instructions and/ or responding to enquiries purported to be given by me/us on my/our behalf, performance of anti-money laundering, counter terrorist financing and know-your-client checks and related processes, dealing with any matters in relation to my/our holdings of Units, investor communications (including the mailing and/or emailing of financial reports and notices), and observing any legal, governmental or regulatory requirements of any relevant jurisdictions. All such information may be retained after my/ our Units in the Fund(s) have been transferred/redeemed or upon the termination of the relevant Fund(s) in accordance with applicable laws.
- I/We confirm that all the information made by me/us in this Subscription Form and otherwise provided by me/us to the Fund(s), the Manager, and the Trustee and Registrar shall remain valid and I/we also undertake to immediately notify the Fund(s), Manager, the Trustee and Registrar on any changes to such Information as soon as reasonably possible.
- All Joint applicants must sign this Form unless otherwise specified on the Application Form. A corporation should sign under the hand of a duly authorised official.
- Neither the Fund(s)/Manager/Trustee and Registrar accepts any claims for loss in relation to their non-receipt of this Form being sent by fax.
- I/We hereby confirm that the Fund(s), the Manager, and the Trustee and Registrar are each hereby authorised and instructed to accept and execute any instructions including subscription and/or redemption instructions, instructions relating to payment of redemption proceeds, given by me/us in written form or by facsimile. I/We hereby indemnify the Fund(s)/Manager/Trustee and Registrar against any loss arising to any of them as a result of any of them acting on facsimile instructions. This also applies to the case if redemption payment is made without receipt of original Redemption Form. If instructions are given by facsimile I/we undertake to confirm such instructions to the Trustee and Registrar in writing. I/We confirm that each of the Fund(s)/Manager/Trustee and Registrar may rely conclusively upon and shall incur no liability in acting upon any notice, request, consent, instruction or other instrument believed by it in good faith to be genuine or to be signed by the proper person(s) or duly authorised or properly made.
- I/We hereby authorise the Fund(s), the Manager and their respective agents to disclose to any relevant tax authority, competent department or authority of the government or administration of Hong Kong or other countries (collectively, the "Government Authorities") any information (including our tax status, identity or residency or other personal and payment information), documents or self-certifications provided by me/us to the Fund(s), the Manager. To the extent permitted by law, I/we agree to waive any provision of any data protection, privacy, banking secrecy or other law or regulation of any jurisdiction and/or the terms of any confidentiality agreement, arrangement or understanding that would otherwise prevent the Fund(s), and the Manager's compliance with the AEOI regimes or any other applicable laws, including, but not limited to, my/our provision of any requested information and/or documentation. I/we shall have no claim against the Fund(s), and the Manager (or their respective agents from time to time) for any losses, liabilities, costs or expenses suffered by me/us as a result of the proper use or disclosure of such information or documentation to any of the Government Authorities or for any actions or omissions taken by any of them in connection with the Fund(s), and the Manager's compliance with the AEOI regimes. I/We confirm that all the information made by us in this Subscription Form and as otherwise provided by me/us to the Fund(s), and the Manager shall remain valid and I/We also undertake to immediately notify the Fund(s), and the Manager on any changes to such Information as soon as reasonably possible.
- I/We agree to compensate the Fund(s), the Directors, the Manager, the Trustee and Registrar, and their respective agents from time to time for any losses or expenses arising in connection with a breach of the above declarations and agreements and/or my/our actions or failure to provide any information or documents requested by any of them to comply with the AEOI regimes or other laws or regulations.
- I/We acknowledge that if I/we provide information and documentation that is in any way misleading, or fail to provide the Fund(s), the Directors, the Manager, the Trustee and Registrar and their respective agents with the requested information and documentation necessary in either case to satisfy the obligations of the Fund(s) or the Manager under the AEOI regimes, the Fund(s), the Manager, and the Trustee and Registrar reserves the right: to take any action and/or pursue such remedies as permitted by law and/or the constitutive documents of the Fund(s) at its disposal.
- 有關閣下認購的基金及單位類別的最低首次投資額、最低其後投資額及最低持股量金額，請參閱發售文件。
- 本人/我們明白及接納是項申請乃根據基金目前刊印的發售文件（「發售文件」）的條款及條件提出，並須受基金成立文件以及發售文件內所披露各重大合約的條文所規限。
- 本人/我們謹承諾及同意接納是項申請所獲受理任何數目的單位。本人/我們明白基金董事/基金經理保留權利，可限制或防止任何人士、商號或公司擁有單位，包括（但不限於）發售文件所概述的情況。
- 本人/我們確認，本人/我們有權根據本認購表格作出投資，而不論該項投資乃為本人/我們本身作出或代另一人士或機構作出。
- 本人/我們確認，本人/我們已閱讀適用於所認購基金的發售文件。
- 本人/我們同意，本認購表格所提供的資料及透過其他（書面或口述）的通訊方式不時就本人/我們認購單位或作為基金單位持有人所提供的資料（包括本人/我們以任何方式提供與本人/我們或第三方個人有關的任何資料（「個人資料」））可由基金、基金經理及其他就貴公司及/或基金所委任的相關服務供應商（包括受託人及過戶處）及其正式委任的代表（包括其僱員、高級職員、董事或代理）持有，並將用於向本人/我們提供有關我們的單位的多項服務，包括但不限於為處理或核實本人/我們的資料的配對、處理本人/我們對基金的認購及投資、完成/保存投資者名冊上的資料、執行本人/我們的指示及/或回覆據稱由本人/我們代表本人/我們發出的查詢、執行反洗黑錢、反恐融資及認識客戶檢查及相關過程、處理任何與本人/我們持有單位相關的事宜、投資者通訊（包括郵寄及/或電郵財務報告及通告），及遵守任何相關司法管轄區的任何法律、政府或監管規定。所有該等資料或會於本人/我們的基金單位被轉讓/贖回後或於相關基金終止後根據適用法律被予以保留。
- 本人/我們確認，本人/我們於本認購表格所提供的一切資料及其他由本人/我們向基金、基金經理、受託人及過戶處提供的資料均屬有效，且本人/我們亦承諾，如有關資料有任何更改，將合理地盡快知會基金、基金經理、受託人及過戶處。
- 除認購表格特別註明外，所有聯名申請人均須簽署本表格。公司應由正式獲授權的高級職員親筆簽署。
- 基金/基金經理/受託人及過戶處概不會接受任何索償，如因以傳真方式送交之本表格未能送達所引致之損失。
- 本人/我們確認，基金、基金經理、受託人及過戶處已各自獲授權及指示，可接納及執行本人/我們發出的任何書面或傳真指示，包括認購及/或贖回指示，與支付贖回所得款項有關的指示。本人/我們謹保證基金/基金經理/受託人及過戶處任何一方若因根據傳真指示行事而蒙受任何損失，本人/我們會向彼等作出彌償，此聲明亦適用於當贖回款項在沒有收到贖回表格正本而支付的情況。若以傳真方式發出指示，本人/我們承諾以書面方式向受託人及過戶處，覆實該項指示。本人/我們確認，基金/基金經理/受託人及過戶處可確定地信賴其真誠相信為真確或經由合適人士簽署或正式獲授權或恰當發出的任何通知、要求、同意、指示或其他文據，且不應就據之行事而招致任何責任。
- 本人/我們謹此授權基金、基金經理及其各自代理向香港或其他國家的任何相關稅務機關、主管部門或政府或行政機關（統稱「政府機關」）披露由本人/我們向基金、基金經理提供的任何資料（包括稅務地位、身份或居留地或其他個人及付款資料）、文件或自我證明。在法律容許的範圍內，本人/我們同意，豁免會妨礙基金及基金經理遵守AEOI制度或任何其他適用法例的任何司法管轄區的任何資料保護、私隱、銀行保密的條文或其他法例或規例及/或任何保密協議、安排或諒解的條款，包括但不限於本人/我們提供任何所要求的資料及/或文件。就本人/我們因基金及基金經理為遵守AEOI制度而恰當使用或向任何政府機關披露上述資料或文件或採取或不採取任何行動而蒙受的任何損失、責任、成本或開支，本人/我們無權向基金及基金經理（或彼等各自不時的代理）申索。本人/我們確認，我們於本認購表格所提供的一切資料及其他由本人/我們向基金及基金經理提供的資料均屬有效，且本人/我們亦承諾，如有關資料有任何更改，將合理地盡快知會基金及基金經理。
- 就因違反上述聲明及協議及/或本人/我們的行動或未能提供基金、董事、基金經理、受託人及過戶處及彼等各自不時的代理任何一方為遵守AEOI制度或其他法例或規例而要求的任何資料或文件而產生的損失或開支，本人/我們同意向彼等作出賠償。
- 本人/我們承認，倘本人/我們提供的資料及文件在任何方面誤導或未能向基金、董事、基金經理、受託人及過戶處及其各自代理提供要求的為履行基金或基金經理於AEOI制度下責任所需資料及文件，則基金、基金經理、受託人及過戶處保留權利：採取任何行動及/或採取其可採取的法律及/或基金組成文件容許的補救方法。

SIGNATURE(S) 簽署

1st Applicant
第一申請人

2nd Applicant
第二申請人

Corporation & Chop
公司及蓋章

Agent's Stamp
代理人蓋章

Date 日期 _____

Date 日期 _____

Date 日期 _____

Date 日期 _____

RETURN THE FORM TO 表格交回

Please make sure you have enclosed telegraphic transfer advice and return the subscription form to :

請確保閣下已附上電匯通知書，並隨認購表格交回：

HSBC Institutional Trust Services (Asia) Limited
3/F Tower 2 & 3, HSBC Centre
1 Sham Mong Road
Kowloon, Hong Kong
Attention: Transfer Agency
Fax: (852) 3409 1062

滙豐機構信託服務 (亞洲) 有限公司
香港九龍
深旺道 1 號
滙豐中心 **2&3** 座 **3** 樓
收件人：過戶代理
傳真：(852) 3409 1062